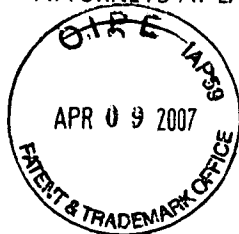


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Federal Agencies

April 9, 2007

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Art Unit 1644
Attn: Mail Stop Amendment

Re: U.S. Utility Patent Application
Application No. 10/529,221 ; Filed: June 30, 2006
For: **Targeted CD1d Molecules**
Inventors: ROBERT *et al.*
Our Ref: 1843.0200001/EKS/EJH

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Second Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97(b);
2. Form PTO/SB/08A (1 sheet) listing 4 documents (US14 and FP16-FP18);
3. Form PTO/SB/08B (1 sheet) listing 1 document (NPL92);
4. Copies of cited documents (FP16-FP18 and NPL92); and
5. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
April 9, 2007
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Elizabeth J. Haanes", is written over the printed name.

Elizabeth J. Haanes, Ph.D.

Attorney for Applicants

Registration No. 42,613

EJH/cms
Enclosures

661965_1.DOC



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ROBERT *et al.*

Appl. No.: 10/529,221

Filed: June 30, 2006

For: **Targeted CD1d Molecules**

Confirmation No.: 2116

Art Unit: 1644

Examiner: DIBRINO, Marianne N.

Atty. Docket: 1843.0200001/EKS/EJH

**Second Supplemental Information Disclosure Statement
under 37 C.F.R. § 1.97(b)**

Mail Stop Amendment

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms, PTO/SB/08A and PTO/SB/08B, are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed on August 15, 2006 in connection with the above-captioned application.

Copies of documents **FP16** to **FP18** and **NPL92** are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), a copy of the U.S. patent publication cited on the attached Form PTO/SB/08A, document **US14**, is not submitted.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Elizabeth J. Haanes, Ph.D.

Attorney for Applicants

Registration No. 42,613

Date: April 9, 2007

1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600



Complete if Known

(Use as many sheets as necessary)

Sheet	1	of	1
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Application Number	10/529,221
Filing Date	June 30, 2006
First Named Inventor	ROBERT, Bruno
Art Unit	1644
Examiner Name	DIBRINO, Marianne N.
Attorney Docket Number	1843.0200001/EKS/HCC

[illegible][illegible]

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Examiner Signature	/Marianne DiBrino/	Date Considered	08/17/2009
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Substitute for form 1449/PTO

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/529,221
Filing Date	June 30, 2006
First Named Inventor	ROBERT, Bruno
Art Unit	1644
Examiner Name	DIBRINO, Marianne N.
Attorney Docket Number	1843.0200001/EKS/HCC

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
/M.D./	NPL92	Wilson, M.T., <i>et al.</i> , "Immunotherapy with ligands of natural killer T cells," <i>Trends Mol. Med.</i> 8:225-231, Elsevier Science Ltd. (May 2002)	

641712v1

Examiner Signature	/Marianne DiBrino/	Date Considered	08/17/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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